

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

11/26/2004 FFANREIA 00000060 10761827

11 FC:1001 770.00 OP

Adjustment date: 03/03/2004 SDIRETA1
01/26/2004 FFANREIA 00000060 10761827
01 FC:1001 -770.00 OP

03/03/2004 SDIRETA1 00000015 10761827

01 FC:2001 385.00 OP

Refund Ref:
03/03/2004 0030014718

Credit Card Refund Total: \$385.00

VISA....: XXXXXXXXXXXXX2500

PTO-1556
(5/87)

DEP & REF
Room: 307

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Xiuli Sun, et al.

FEB 23 2004

Docket No.

20039.000211/SH119/04007A

Serial No.

10/761,827

Filing Date

January 21, 2004

Examiner

TBA

Group Art Unit

TBA

Invention: CATALYST FOR POLYMERIZATION OR COPOLYMERIZATION OF OLEFINS, PREPARATION AND USE OF THE SAME

I hereby certify that this Request for Refund of Partial Filing Fees (2 pages)

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

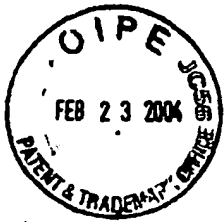
February 18, 2004
(Date)

Penny P. Clements

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.



Docket No.
20039.000211/SH119/04007A

STATUS AND INFO
SEARCH

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

11 FEB 27 2004

| | | | |
|-------------|---------------------------------|---|-----------|
| Applicant: | Xiuli Sun, et al. |) | Examiner: |
| | |) | TBA |
| Serial No.: | 10/761,827 |) | |
| | |) | |
| Filed: | January 21, 2004 |) | Art Unit: |
| | |) | Unknown |
| For: | CATALYST FOR POLYMERIZATION OR |) | |
| | COPOLYMERIZATION OF OLEFINS, |) | |
| | PREPARATION AND USE OF THE SAME |) | |

REQUEST FOR REFUND OF PARTIAL
FILING FEES UNDER 37 CFR §1.27 & §1.28

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The above-identified patent application was filed together with a large-entity filing fee of \$770.00. The large-entity fee was submitted by virtue of Form PTO-2038 supplied with the application (copy enclosed).

However, the inventor in the above-identified patent application qualifies as a small entity under 37 CFR §1.27(a)(1). Further, the assignee of the above-identified patent application, Shanghai Institute of Organic Chemistry, Chinese Academy of Sciences, is a non-profit organization and qualifies as a small entity under 37 CFR §1.27(a)(3).


Accordingly, Applicants enclose herewith an executed Small Entity Certification under 37 CFR §1.27 & §1.28. Since Small Entity status entitles Applicants to reduced U. S. Patent and Trademark Office fees, Applicants hereby request a refund in the amount of \$385.00, or fifty percent of the large-entity fee paid at the time of filing. Please issue the refund to the credit card used to pay the original large-entity fee (Bank of America Visa #4427 1100 0435 2500) or by any other mode convenient for the Office.

2004 FEB 27 16 00
2004 FEB 27 16 00
2004 FEB 27 16 00

Please feel free to contact the undersigned in connection with this Request, or
any other matter related to the above-identified patent application.

Respectfully submitted,

17 FEB 27 AM 3:21


Thomas R. FitzGerald
Reg. No. 26,730

Thomas R. FitzGerald, Attorney
16 East Main Street, Suite 210
Rochester, NY 14614-1803
Tel.: (585) 4540-2250
Fax: (585) 454-6364

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Xiuli Sun, et al.

FEB 23 2004

Docket No.

20039,000211/SH119/04007A

Serial No.

10/761,827

Filing Date

January 21, 2004

Examiner

TBA

Group Art Unit

TBA

Invention: CATALYST FOR POLYMERIZATION OR COPOLYMERIZATION OF OLEFINS, PREPARATION AND USE OF THE SAME

I hereby certify that this Small Entity Certification (1 page)

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

February 18, 2004
(Date)

Penny P. Clements

(Typed or Printed Name of Person Mailing Correspondence)

Penny P. Clements
(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.



Docket No. 20039.000211/SH119/04007A

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

| | | | |
|-------------|---------------------------------|---|-----------|
| Applicant: | Xiuli Sun, et al. |) | Examiner: |
| | |) | TBA |
| Serial No.: | 10/761,827 |) | |
| | |) | |
| Filed: | January 21, 2004 |) | Art Unit: |
| | |) | Unknown |
| For: | CATALYST FOR POLYMERIZATION OR |) | |
| | COPOLYMERIZATION OF OLEFINS, |) | |
| | PREPARATION AND USE OF THE SAME |) | |

SMALL ENTITY CERTIFICATION
PURSUANT TO CFR § 1.27 & 1.28

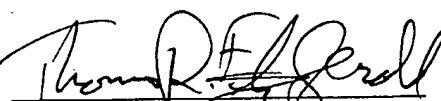
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Thomas R. FitzGerald, hereby certify that Xiuli Sun, Weiqiu Hu, Cong Wag, Yliang Zhang and Chun-an Xia, inventors in the above-referenced patent application, qualify for small entity status pursuant to CFR § 1.27 & 1.28.

Respectfully submitted,

Dated: 2/17/04


Thomas R. FitzGerald
Registration No. 26,730
Attorney for Applicants

Thomas R. FitzGerald, Esq.
16 E. Main Street, Suite 210
Rochester, New York 14614-1803
United States of America
Tel: (585) 454-2250
Fax: (585) 454-6364